

## GENERAL CERTIFICATIONS

The Bidder/Proposer shall respond either "Yes" or "No" to each of the following where indicated. If the Bidder/Proposer's response is "No", a full explanation shall be provided in the space following the last item.

### 1.0 CERTIFICATE OF NONDISCRIMINATION

Yes  No

The Bidder/Proposer hereby certifies: that it does not unlawfully discriminate against any employee or applicant for employment with regard to race, sex, color, religion, ancestry, national origin, marital status, age (over 40), or disability (including AIDS, and cancer-related medical condition); that it is in compliance with all applicable Federal, state, and local directives and executive orders regarding nondiscrimination in employment; and that it agrees to pursue positively and aggressively the principle of equal opportunity in employment. The Bidder/Proposer and its Subcontractors shall comply with the provisions of the Fair Employment and Housing Act Gov. Code § 12900 and the applicable regulations promulgated thereunder. The Bidder/Proposer agrees specifically to adhere to the following:

- A. Establish and observe employment policies that actively promote opportunities for minority persons and women at all job levels.
- B. Communicate this policy to all company employees, outside recruiting services, especially those serving minority communities and women, and minority communities and women at large.
- C. State in all solicitations or advertisements for employees that the Bidder/Proposer will consider all qualified applicants for employment without regard to race, sex, color, religion, ancestry, national origin, marital status, age (over 40), or disability (including AIDS, and cancer-related medical condition).

### 2.0 AFFIRMATIVE ACTION

Yes  No

The Bidder/Proposer certifies that it and those Subcontractors with subcontracts in excess of ten thousand dollars (\$10,000) are maintaining Affirmative Action Programs consistent with those required under Federal Executive Order 11246. The detailed requirements are set forth in the Contract Compliance Manual.

**3.0 FRAUDULENT USE OF DBE FRONTS**

Yes  No

Only legitimate DBE's are eligible to participate in SRTA contracts as DBEs. The Bidder/Proposer certifies that it has not knowingly and willfully used "fronts" as defined in the Disadvantaged Business Enterprise Anticipated Level of Participation (DALP). The use of "fronts" and "pass through" Subcontracts to non-disadvantaged firms may constitute a criminal violation<sup>1</sup>.

**4.0 WHISTLEBLOWER REQUIREMENTS**

Yes  No

The Bidder/Proposer certifies that it will take no action, or adopt any rule, regulation or policy which is contrary to the provisions set forth in California Labor Code § 1101.

A full explanation of all "No" answers shall be provided below.

Bidder/Proposer hereby declares under the penalty of perjury under the laws of the State of California that the certifications made above in No. 1-4 are true and correct.

Executed on \_\_\_\_\_, 20\_\_\_\_, at \_\_\_\_\_, \_\_\_\_\_  
(Date) (City) (State)

\_\_\_\_\_  
Typewritten or Printed Name      Signature of Authorized Official      Title

<sup>1</sup> Any indication of fraud, waste, abuse, or mismanagement of these funds should be immediately reported to the SRTA Administrative Office, at (508) 997-6767; or to the following office: 700 Pleasant Street, Suite 320; New Bedford, MA 02740

